

Curriculum Vitae

Nicolas “Nick” Toadvine, CFP®

3913 Cheverly Dr E, Lakeland, FL 33813
T: 863-398-8299 E: nicktoadvine@yahoo.com

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| Current Position | Guardian Wealth Management – Lakeland, FL | September 2013-Present |
| | Founder and President. Personal financial advisor to high net worth retail clients. Work with these clients in all forms of financial and estate planning in addition to the discretionary management of their investment portfolios. Also coordinate tax reporting and prepare taxes for clients. Market and promote the services of the firm. | |
| Experience | Wall Foss Advisors – Lakeland, FL | July 2012-September 2013 |
| | Personal financial advisor to high net worth retail clients. Helped these clients with all forms of financial and estate planning in addition to the discretionary management of their investment portfolios. Also coordinated tax reporting and prepared taxes for clients. | |
| | Brookstone Securities, Inc. – Lakeland, FL | Jan 2012-July 2012 |
| | Director of Wealth Management for 170 member broker/dealer firm. Developed trading models and investment policy for the firm and its advisors. Recruited, trained and managed advisors in the area of fee based wealth management. Personal financial advisor to high net worth retail clients. Assisted clients with all forms of financial and estate planning in addition to the discretionary management of their investment portfolios. Worked with various national and state regulatory authorities to gain their approval and registration of the entity, Cornerstone Securities, which would manage money for clients in a fee only, no commission environment. | |
| | Ingram Financial Group – Winter Haven & Lakeland, FL | 2002-2012 |
| | Financial advisor to retail clients and Vice President of the firm. Managed customer accounts and relationships and oversaw day-to-day operations of the branch. Helped set investment policy for the overall firm as well as marketing plans for external and internal growth. Worked independently and closely with others to achieve company goals and find solutions to challenging issues. Consistently in top 5% in regards to revenue creation. | |
| | Allen & Company of Florida, Inc. – Lakeland, FL | 2001-2002 |
| | Financial advisor. Managed customer accounts and relationships to expectations. Recruited new clients to the firm. | |
| | High Mark Insurance & Financial Services – Lakeland, FL | 1997-2001 |
| | Developed and managed a troubleshooting desk to solve problems related to tracking funds in transition from one institution to another. Expedited issuance of insurance policies by streamlining underwriting protocol and procedures for the entire company. Acted as liaison to compliance of several broker/dealers for representatives and vetted new representatives as they joined the firm. | |
| Education | Florida Southern College | 1997-1999 |
| | B.S. - Business Administration. Completed college while working full time and obtaining industry licensing. | |
| Relevant professional accomplishments | Certified Financial Planner Practitioner™ - January 2008; completed entire 2-year program and passed exam in 9 months. | |
| | Securities licenses: Series 7, 24, 26 & 66. Insurance licenses: Florida Life, Health & Variable Annuity. | |
| | Hosted two financial radio shows - Profit Sharing and Your Money Counts - for a total of 3 years in the central Florida area. | |